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PTO/SB/21 (08-00)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/645,594	
	<b>Filing Date</b>	August 25, 2000	
	<b>First Named Inventor</b>	Malcolm King	
	<b>Group Art Unit</b>	1615	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission</b>	7	<b>Attorney Docket Number</b>	11157-14

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="text"/>	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt
<b>Remarks</b> <input type="text"/>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Micheline Gravelle, Registration No. 40,261
<b>Signature</b>	
<b>Date</b>	March 19, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>			
<b>Typed or printed name</b>	<input type="text"/>		
<b>Signature</b>	<input type="text"/>	<b>Date</b>	<input type="text"/>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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March 19, 2001

Micheline Gravelle B.Sc., M.Sc. (Immunol.)  
416 957 1682 mgravelle@bereskinparr.com

Your Reference: 09/645,594  
Our Reference: 11157-14

The Commissioner of Patents & Trademarks  
Washington, D.C. 20231  
U.S.A.

Dear Sir:

**Re: REQUEST FOR CORRECTED FILING RECEIPT**  
**United States Patent Application Serial No. 09/645,594**  
**Filing Date: August 25, 2000**  
**Title: Use of Charged Dextran As A Mucoactive Agent and Methods and**  
**Pharmaceutical Compositions Relating Thereto**  
**Applicant: Malcolm King**

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Applicants respectfully request correction of the filing receipt of the above noted patent application as follows:

1. Please change the spelling of the word "DEXTARN" to "**DEXTRAN**" in the title of the invention.
2. Please change the filing date from 08/26/1999 to 08/25/2000.
3. Please insert "**Provisional Application No. 60/150,605 filed 08/26/1999**" after the section entitled "Continuing Data as Claimed by Applicant".

It should be noted that Applicants previously requested a correction to the spelling of the word "DEXTRAN" in the filing receipt for the above noted patent application. A copy of Applicants' correspondence to the United States Patent Office dated November 10, 2000 is enclosed.

Applicants have received a Corrected Filing Receipt but the requested changes to the word "DEXTRAN" were not entered. Additionally, the filing date was amended from "08/25/2000" to "08/26/1999" and this is incorrect. Enclosed is a photocopy of a Corrected Filing Receipt in the above-identified patent application showing the requested corrections.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected Filing Receipt are hereby respectfully requested. The Commissioner is hereby authorized to charge any fees which may be required to our Deposit Account No. 02-2095. This letter is enclosed in duplicate.

Respectfully submitted,

**MALCOLM KING**

A handwritten signature in cursive script, appearing to read "M Gravelle", written over a horizontal line.

Micheline Gravelle  
Registration No. 40,261

MG:mjs  
Enc.



Barristers and Solicitors/Patent and Trade Mark Agents  
Practice Restricted to Intellectual Property Law

November 10, 2000



Micheline Gravelle B.Sc., M.Sc. (Immunol.)  
416 957 1682 mgravelle@bereskinparr.com

Your Reference: 09/645,594

Our Reference: 11157-14

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JUL 19 2001

TECH CENTER 1600/2900

The Commissioner of Patents & Trademarks  
Washington, D.C. 20231  
U.S.A.

Dear Sir:

**Re: REQUEST FOR CORRECTED FILING RECEIPT**

**United States Patent Application Serial No. 09/645,594**

**Filing Date: August 25, 2000**

**Title: Use of Charged Dextran As A Mucoactive Agent and Methods and  
Pharmaceutical Compositions Relating Thereto**

**Applicant: Malcolm King**

Enclosed is a photocopy of a Filing Receipt in the above-identified patent application showing the requested corrections. Applicants respectfully request that the Filing Receipt be amended as follows:

- (1) The word "DEXTRAN" was incorrectly spelled in the title of the invention. A copy of the Filing Receipt showing the correction is enclosed.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected Filing Receipt are hereby respectfully requested. The Commissioner is hereby authorized to charge any fees which may be required to our Deposit Account No. 02-2095. This letter is enclosed in duplicate.

Respectfully submitted,

**MALCOLM KING**

Micheline Gravelle  
Registration No. 40,261

MG:ga  
Enc.



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/645,594	08/25/2000	1615	0	11157-14	5	28	3

1059

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40 KING STREET WEST 40TH FLOOR

P O BOX 401

TORONTO, ON M5H 3Y2

CANADA

## FILING RECEIPT

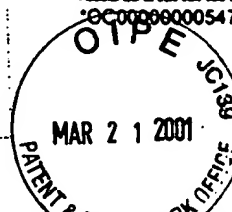


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NOV 03 2000

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MAR 21 2001

Date Mailed: 10/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Malcolm King, Edmonton, CANADA;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 10/16/2000

## Title

*dextran*  
Use of charged *dextran* as a mucoactive agent and methods and pharmaceutical compositions relating thereto

## Preliminary Class

424

Data entry by : BARNES, CAROL

Team : OIPE

Date: 10/16/2000





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/645,594	08/26/1999 08/25/2000	1615	0	11157-14	5	28	3

1059

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SCOTIA PLAZA

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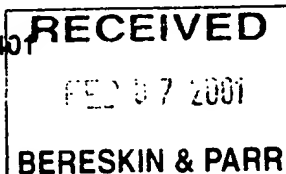
TORONTO, ON M5H 3Y2

CANADA

## CORRECTED FILING RECEIPT



\*OC000000005705229\*



FEB - 8 2001

Date Mailed: 01/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Malcolm King, Edmonton, CANADA;

## Continuing Data as Claimed by Applicant

Provisional Application No. 60/150,605 filed 08/26/1999

## Foreign Applications

If Required, Foreign Filing License Granted 10/16/2000

## Title

DEXTRAN

Use of charged ~~dextran~~ as a mucoactive agent and methods and pharmaceutical compositions relating thereto

## Preliminary Class

424

Data entry by : MEREDITH, WANDA

Team : OIPE

Date: 01/25/2001

